# MINISTRY OF CORPORATE AFFAIRS RECEIPT

#### G.A.R.7

SRN: F20919478

Service Request Date: 11/08/2022

Payment made into: ICICI Bank

Received From:

Name:

MANOJ KUMAR

Address:

G - 31, FIRST FLOOR

FLAT NO. 1, ARUNA PARK SHAKARPUR

DELHI, Delhi

India - 110092

#### Entity on whose behalf money is paid

CIN:

U74899DL1994PLC063201

Name:

SMC CAPITALS LIMITED

Address:

11/6B,1st Floor,Shanti Chambers

Pusa Road, New Delhi-110005

New Delhi, Delhi

India - 110005

#### **Full Particulars of Remittance**

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
See for Form MGT-7 for the financial year ending on 2022	Normal	600.00
	Total	600.00

Mode of Payment:

Credit Card- ICICI Bank

Received Payment Rupees: Six Hundred Only

Note —The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar, then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

### FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]

Name of the Registrar and Transfer Agent



## **Annual Return**

(other than OPCs and Small Companies)

Form language	<ul><li>English</li></ul>	Hindi
Refer the instruc	tion kit for fil	ing the form

I. REGISTRATION AND OTHE	R DETAILS		*	
(i) * Corporate Identification Number (C	CIN) of the company	U7489	9DL1994PLC063201	Pre-fill
Global Location Number (GLN) of	the company			
Permanent Account Number (PAN	l) of the company	AABCS	3706R	-
(ii) (a) Name of the company		SMC C	APITALS LIMITED	
(b) Registered office address		-	9.	V -
11/6B,1st Floor,Shanti Chambers Pusa Road, New Delhi-110005 New Delhi Delhi 110005				
(c) *e-mail ID of the company		smc@s	mcindiaonline.com	
(d) *Telephone number with STD co	ode	011301	11000	
(e) Website				
iii) Date of Incorporation		06/12/	1994	
v) Type of the Company	Category of the Company		Sub-category of the	Company
Public Company	Company limited by sh	ares	Indian Non-Gov	ernment company
y) Whether company is having share ca	apital	Yes	O No	-
vi) *Whether shares listed on recognize	d Stock Exchange(s)	Yes	<ul><li>No</li></ul>	
(b) CIN of the Registrar and Transf	er Agent			Pre-fill

						7				j.
Re	egistered office	address of the	Registrar and Trai	nsfer Age	nts	* ************************************	:			
		12				. ,	***************************************		×	
L (vii) *Fin	nancial year Fro	om date 01/04	/2021	(DD/MM/	YYYY)	To date	31/03/202	92	 	1M/YYYY)
		general meetin	72021	•	<ul><li>Yes</li></ul>		No			-
	,									
(a)	If yes, date of	AGM	24/06/2022							
(b)	Due date of A	GM	30/09/2022			. *				
27 (25)	-	extension for AC			$\bigcirc$	Yes	<ul><li>No</li></ul>			
II. PRII	NCIPAL BU	SINESS ACT	TIVITIES OF TH	IE COM	PANY					
*1	Number of bus	iness activities	1						*	
S.No	Main Activity group code	Description of	Main Activity group	Busines Activity Code	s Des	scripțion c	of Business	Activity		% of turnove of the company
1	K	Financial and	insurance Service	K7			Advisory, l	_	e and	100
(INC	LUDING JO	INT VENTU	G, SUBSIDIAR RES)  Intion is to be given		ASSO	CIATE (	(d*94)00042-96000445	IIES		
S.No	Name of the	ne company	CIN / FCF	iN	Holdin	g/ Subsid Joint V	iary/Assoc enture	iate/	% of sh	ares held
1	SMC GLOBAL	SECURITIES LIMI	L74899DL1994PL	C063609		, Hold	ing		1	00
IV. SHA	RE CAPITA	L, DEBENT	URES AND OT	HER SE	CURI	TIES OF	THE CO	MPAI	NΥ	
i) *SHAF	RE CAPITAI			,						ž
	ty share capita								Ÿ	
	Particular	rs	Authorised capital	lssu cap			cribed pital	Paid u	p capital	
Total nur	mber of equity	shares	10,000,000	10,000,0	00	10,000,0	000	10,000	,000	
Total am Rupees)	nount of equity	shares (in	100,000,000	100,000,	000	100,000	,000	100,00	0,000	

Number of classes

Class of Shares Equity	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	10,000,000	10,000,000	10,000,000	1 0,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	100,000,000	100,000,000	100,000,000	1 00,000,000

### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

N		h ~ "	~£	_	
IV	um	ber	OI	C	lasses

0		
U		

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)	*			
Trommal value per enare (in rupeds)	, -			5
Total amount of preference shares (in rupees)	2			

#### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

#### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			74
At the beginning of the year	10,000,000	0	10000000	100,000,000	100,000,00	
Increase during the year	0	0	0	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

						1/3-
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	-					59
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0 0
iv. Others, specify		11	. 7	b.		
At the end of the year	10,000,000	0	10000000	100,000,000	100,000,00	•
Preference shares			11			
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	, 0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify		*				
Decrease during the year	0	0	0	0	0	0
	-		Lance and the second se			
i. Redemption of shares	0	0	0	0	0	0
i. Redemption of shares ii. Shares forfeited		0	0	0	0	0
ii. Shares forfeited	0	:24	1			
	0	0	0	0	0	0

(ii) Details of stock spl	lit/consolidation during the	he year (for	each class o	f shares)		0
Class of shares		, e	(i)	(i	ii)	(iii)
Before split /	Number of shares		,		,	
Consolidation	Face value per share		-		9	
After split /	Number of shares					
Consolidation	Face value per share			# # # # # # # # # # # # # # # # # # #		
	es/Debentures Trans at any time since the					al year (or in the cas
] Nil						
[Details being pro	vided in a CD/Digital Med	lia]	0	Yes	No	O Not Applicable
Separate sheet at	tached for details of trans	sfers		Yes (	) No	
Media may be shown.	sfer exceeds 10, option fo		n as a separa	te sheet atta	achment or s	submission in a CD/Digita
Date of registration	of transfer (Date Month	Year)				
Type of transfe	er .	1 - Equity	/, 2- Prefere	nce Shares	s,3 - Deber	ntures, 4 - Stock
Number of Shares/ I Units Transferred	Debentures/			per Share/ ıre/Unit (in I		
Ledger Folio of Tran	sferor		-			
Transferor's Name			-			
	Surname		middle	name		first name
Ledger Folio of Tran	sferee					

	-		8
Transferee's Name			
	Surname	middle name	first name
Date of registration of	of transfer (Date Month Year)		
Type of transfe	r 1 - Eq	quity, 2- Preference Shares,3 -	Debentures, 4 - Stock
Number of Shares/ D Units Transferred	Debentures/	Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Trans	sferor		
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Trans	sferee		
Transferee's Name			
	Surname	middle name	first name
iv) *Debentures (Ou	itstanding as at the end of	f financial year)	*

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year		Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other	er than shares and c	lebentures)		0	
Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
	2				
Total					

## V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

	924							
			100	n	0	11	0	14
(i)		ч	1		u	v	c	
			-		_	-	_	-

74,375,342

(ii) Net worth of the Company

122,616,000

#### VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equi	ity	Preference		
		Number of shares	Percentage	Number of shares	Percentage	
1.	Individual/Hindu Undivided Family					
	(i) Indian	600	0.01	0		
	(ii) Non-resident Indian (NRI)	0	0	0		
	(iii) Foreign national (other than NRI)	0	0	0		
. 2.	Government		#			
-	(i) Central Government	0 0	0	0		
H	(ii) State Government	0	0	0		
	(iii) Government companies	0	0	0		
3.	Insurance companies	0	0	0	Ti .	
4.	Banks	0	0	0		
5.	Financial institutions	0	0	0		

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	9,999,400	99.99	0	
10.	Others	0	0	0	
	Total	10,000,000	100	0	0

Total numb	er of share	holders	(promoters)
------------	-------------	---------	-------------

7		
1		

### (b) \*SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equi	ity	Preference		
	*	Number of shares	Percentage	Number of shares	Percentage	
1.	Individual/Hindu Undivided Family	- W				
¥	(i) Indian	0	0	0		
	(ii) Non-resident Indian (NRI)	0	0	0		
5	(iii) Foreign national (other than NRI)	0	0	0		
2.	Government					
	(i) Central Government	0	0	0		
=	(ii) State Government	0	0	0 2	*	
3	(iii) Government companies	. 0	0	0		
3.	Insurance companies	0	0	0		
4.	Banks	0	0	0	2	
5.	Financial institutions	0	0	0		
6.	Foreign institutional investors	0	0	0	4	
7.	Mutual funds	0	0	0		
8.	Venture capital	0	0	0		
9.	Body corporate (not mentioned above)	0	0	0		
10.	Others	0	0	0	1	

Total	0	0	0	0.
Total number of shareholders (other than promo	oters) 0			-
Total number of shareholders (Promoters+Public Other than promoters)	7			

# VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0 ,	0
Debenture holders	0	0

#### VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

#### (A) \*Composition of Board of Directors

Category		lirectors at the g of the year		rectors at the end ne year	Percentage of shares held by directors as at the end of year		
*	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive	
A. Promoter	0	1	1	0	0	0	
B. Non-Promoter	1 ,	1	1	1	0	0	
(i) Non-Independent	1	1	1	1	0	0	
Andependent	0	0	0	0	0	0	
C. Nominee Directors representing	0	0	0	0	0.	0	
(i) Banks & FIs	0	0	0 ,	0	0	0	
(ii) Investing institutions	0	0	0	0	0	0	
(iii) Government	0	0	0	0	0	0	
(iv) Small share holders	0	0	0	0	0	0	
(v) Others	0	0	0	0	0	0	
Total	1	2	2	1	0	0	

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

_			
5			

#### (B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year: If any)
Damodar Krishan Aggar	00003215	Managing Director	0	,
Shweta Aggarwal	01385796	Whole-time directo	100	
Anurag Bansal	00003294	Director	0	
VISHWANATH BANSAI	APTPB6841A	CFO	0	
VAISHALI GUPTA	AJYPG2064M	Company Secretar	0	

#### (ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	9	beginning / during	Ichange in decignation/	Nature of change (Appointment/ Change in designation/ Cessation)
Shweta Aggarwal	01385796	Director	05/08/2021	Whole Time Director

# IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

#### A. MEMBERS/CLASS / REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held 1

Type of meeting	Date of meeting	Total Number of Members entitled to	Attendance	
		!		% of total shareholding
ANNUAL GENERAL MEETI	06/08/2021	7	7	100

#### **B. BOARD MEETINGS**

\*Number of meetings held 4

	L						
S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance  Number of directors				
	,	w		% of attendance			
1 .	05/06/2021	3	3	100			
2	09/08/2021	3	3	100			
3	07/11/2021	3	3	100			
4	29/01/2022	3	3	100			

#### **C. COMMITTEE MEETINGS**

	Number of meetings held												
5		pe of		į.	of Mor	Number mbers as			Attendar	nce			
		seung	Date o	of meeting		date of	Numb	per of members ded		% of a	ttendar	nce	
	1 .							-		_			
D. *ATT	ENDANCE OF	DIREC	CTORS	To To		2 2 31 2				ā		2	
		1		Board Me	eetings			С	ommittee Meet	ings		Whetl	her
	Name	Numbe	er of		7			Number of		p -	o.	attended AGN	
S. No.	of the director	Meeting director	gs which r was	Number of Meetings		% of attendance	`A	Meetings which director was	Meetings	% of attendar	nce	200	
	- 1	entitled attend	d to	attended		alteridario	, ,	entitled to attend	attended	atteridai		24/06/2	
$\bigcirc$						-		. ,	*			(Y/N/N	NA)
. 1 .,	Damodar Krisl	) (i) (i) (i) (i) (i) (i) (i) (i) (i) (i	4	4		100	)	0	0	C	)	Yes	3
2	Shweta Aggar	. 9	4	4		100	)	0	0	C	)	No	1
3	Anurag Bansa		4	4		100	)	0	0	C	)	No	
vumber	or Managing Dire	Otor MAR	hala tima	Directors	and/or	Managar	whoo	romunoration d	otaila ta ba ant	orod [			
S. No.	Name	ctor, Wr	hole-time Desigr						Stock Option/	ered [	0 iers	Tota	
S. No.	Name	ctor, wr				Manager s Salary		ommission		l		Tota Amou	
S. No.	Name	ctor, wr						ommission	Stock Option/	l			
S. No.	Name Total	ctor, Wr						ommission	Stock Option/	l		Amou	
0			Design	nation	Gros	s Salary	Co	ommission	Stock Option/	Oth		Amou	
0	Total		Design	etary whos	Gros	s Salary	Co	to be entered	Stock Option/	Oth	ers	Amou	unt
Jumber o	Total of CEO, CFO and	I Compa	Designany secre	etary whos	Gross	s Salary	Co	to be entered	Stock Option/ Sweat equity	Oth	ers 2 ers	Amou	unt al unt
Jumber of S. No.	Total of CEO, CFO and	I Compa	Designany secre	etary whose	Gros Gros:	s Salary  uneration of salary	Co	to be entered	Stock Option/ Sweat equity  Stock Option/ Sweat equity	Oth	ers 2 ers	Amou	al unt 81
Jumber of S. No.	Total  of CEO, CFO and  Name  VAISHALI GL	I Compa	Design any secre Design	etary whose	Gross Gross 920 2,97	s Salary uneration of salary 6,681	Co	to be entered ommission	Stock Option/ Sweat equity  Stock Option/ Sweat equity	Oth	ers  2  ers  600  176	Amou  O  Tota Amou  948,2	al unt 81 278
S. No.	Total  of CEO, CFO and  Name  VAISHALI GU	JPTA C	Design  Design  COMPAI	etary whose nation	Gross 920 2,97 3,88	s Salary Ineration of Salary S,681 71,102	Co	to be entered commission 0	Stock Option/ Sweat equity  Stock Option/ Sweat equity  0 0	Oth  21,6  184, 205,	ers  2  ers  600  176	Amou  O  Tota Amou  948,2  3,155,2	al unt 81 278

S. No.	Naı	me	Designa	ation	Gross S	alary	Commission	1	Stock Option/ Sweat equity		Others	Total Amount
1							u					0
7	Γotal		× .		* #							
(I. MATTEI	RS RELAT	ED TO CERT	IFICATI	ON OF	COMPLIA	NCES AI	ND DISCLOSU	JRES				
* A. Whet provis	ther the consions of the	mpany has ma e Companies <i>A</i>	de com Act, 201	pliance: 3 durinç	s and disclo	osures in	respect of app	olicabl	<sup>e</sup> ⊚ Yes	$\bigcirc$	No	
B. If No	, give reas	ons/observatio	ons									
			v				#					
II. PENAL	TY AND P	UNISHMENT	- DETA	ILS TH	EREOF		3 1 1 7				_	
A) DETAILS	S OF PEN	ALTIES / PUN	ISHMEI	NT IMP	OSED ON	COMPAN	NY/DIRECTOR	RS /OI	FICERS	Nil		
Name of the company/ officers		Name of the oconcerned Authority	No. 10. 10.	Date of	Order	section	f the Act and under which ed / punished		ils of peṇalty/ shment		ils of appe ding prese	
v									9			
(B) DETAI	LS OF CO	MPOUNDING	OF OF	FENCE	S N	lil .			T.			
Name of the company/officers		Name of the oconcerned Authority	court/	Date o	f Order	section	of the Act and under which committed	Pai	rticulars of ence		ount of co pees)	mpounding (in
	2				*	٠,		0	d			
XIII. Whet	her compl	ete list of sha	rehold	ers, de	benture ho	lders ha	s been enclo	sed a	s an attachme	ent		
	<ul><li>Yes</li></ul>	S No				. *						
XIV. COM	PLIANCE	OF SUB-SEC	TION (2	) OF SI	ECTION 92	, IN CAS	E OF LISTED	CON	IPANIES			
							l of Ten Crore e annual returr		es or more or to orm MGT-8.	urnover	of Fifty Cr	ore rupees or
Name			PRIYA	NK KU	KREJA					t		×
Whethe	r associate	or fellow		•	Associat	e O	ellow		8			
Certific	ate of prac	tice number		19	9465	1						

(b) Unle	return states these of the return states the return the	xpressly sta	they stood on the detection the telegraph to the contrary	late of the closu elsewhere in th	ire of the fina is Return, th	ancial year af e Company l	oresaid correct has complied w	y and adequatel ith all the provisi	y. ons of the
		,		* 4					
									w .
	¥ V								
				Declaration					
I am Au	thorised by the	Board of Di	irectors of the com	ıpany vide resolı	ution no	04	dated	07/08/2019	
			nd declare that all this form and matte						ınder
1.			form and in the at form has been sup						
2.	All the require	d attachme	nts have been con	npletely and legi	ibly attached	I to this form.			
Note: A punish	Attention is als ment for fraud	o drawn to , punishme	the provisions o	f Section 447, s ment and punis	section 448 shment for	and 449 of t false eviden	the Companies ice respectivel	Act, 2013 whic	h provide for
ြာe d	igitally signed	by	· ·				 3-		
Director	·		DAMODAR KRISHAN AGGARWAL AGGARWAL Last 202-06-10	.]					
DIN of t	he director		00003215	s .					
To be d	ligitally signed	l by	VAISHAL Digitally segrect by VAISHAL GUPTA Obde: 2022 08.11						
Com	pany Secretary								*
○ Com	pany secretary	in practice							
Member	ship number	45298		Certificate o	of practice nu	ımber	,		
0	Attachments						L	ist of attachmen	ıts
	1. List of shar	e holders, c	lebenture holders		^	ttach	SMC Capitals	_Form MGT 8_C	ertificates 2
	2. Approval le	tter for exte	ension of AGM;			ttach	LOSH.pdf		5. t.//oct05_2

I/We certify that:

3. Copy of MGT-8;

4. Optional Attachement(s), if any

# Modify Check Form Prescrutiny Submit

Attach

Attach

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Remove attachment



Address: 457, Jheel Khurenja. Delhi-110051

Contact No.: +91-9717287210

#### Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

#### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of SMC Capitals Limited (CIN: U74899DL1994PLC063201) as required to be maintained under the Companies Act, 2013 and the rules made there under for the financial year ended on 31st March, 2022. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
  - 1) Its Status under the Act;
  - 2) maintenance of registers/records & making entries therein within the time prescribed therefor;
  - filing of forms and returns as stated in the annual return, with the Registrar of Companies. Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
  - 4) calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
  - 5) Closure of Register of Members I Security holders, as the case may be; Not Applicable during the period under review.
  - 6) Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; - Not applicable during the period under review.

C S PRIYANK KUKREJA M. No. 340535 Signatory

- 7) Contracts/arrangements with related parties as specified in section 188 of the Act;
- 8) Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances; Not applicable during the period under review.
- 9) Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; Not applicable during the period under review.
- 10) declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act; Not applicable during the period under review.
- 11) Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub sections (3), (4) and (5) thereof;
- 12) Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- 13) appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 14) approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
- 15) Acceptance/ renewal/ repayment of deposits; Not Applicable during the year under review.
- 16) borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;
- 17) Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
- 18) Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

M. No. A40585

O S PRIYANK KUKRÉJA

Priyank Kukreja Signator

(Company Secretary)

Membership Number: A40585

Certificate of Practice Number: 19465

Date: 30<sup>th</sup> April, 2022 Place: New Delhi

UDIN: A040585D000247517



#### SMC CAPITALS LIMITED

A-401/402, Lotus Corporate Park, Jai Coach Junction, Off Western Express Highway, Goregaon (E), Mumbai-400063

Tel:(B)+91-22-66481818Fax:+91-22-67341697

CIN No.: U74899DL1994PLC063201

#### LIST OF SHAREHOLDERS AS ON 31.03.2022

TYPE OF SECURITY- EQUITY

S.	NAME OF	ADDRESS	NO. OF	AMOUNT PER	PAID UP
NO	SHAREHOLDER	,	SHARES	SHARE (RS.)	AMOUNT (RS.)
1	SMC Global Securities Limited	11/6B, Shanti Chamber, Pusa Road, New Delhi-110005	99,994,00	10	9,99,94,000
2	*Subhash Chand Aggarwal	House No. 67, Road No. 41, West Punjabi Bagh Delhi- 110026	100	10	1000
3	*Mahesh Chand Gupta	C-40, Anand Vihar, I.P.EXTN, Delhi-110092	100/	10	1000
4	*Sushma Gupta	C-40, Anand Vihar, I.P.EXTN, Delhi-110092	100	10	1000
5	*Hemlata Aggarwal	House No. 67, Road No. 41, West Punjabi Bagh Delhi- 110026	100	10	1000
6	*Himanshu Gupta	C-40, Anand Vihar, Delhi- 110092	100	10	1000
7	*Shweta Aggarwal	House No. 67, Road No. 41, West Punjabi Bagh Delhi- 110026	100	10	1000
		Total	1,00,00,000		10,00,00,000

\*BENEFICIAL INTEREST IN THESE SHARES HAS BEEN TRANSFERRED TO SMC GLOBAL SECURITIES LIMITED.

Certified To Be True For SMC Capitals Limited

Vaishali Gupta (Company Secretary) M. No.: A45298





REGD. OFFICE: 11/6B, Ground Floor, Shanti Chamber, Pusa Road, New Delhi-110005 Ph: +91-11-30111000 / 40753333 Fax: +91-11-25754365 E-mail: advisory@smccapitals.com Website: www.smccapitals.com