

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (IPO, FPO & OFS)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	2**	0	2**
4	August 2024	2	0	2	0
5	September 2024	0	0	0	0
	Grand Total	2	2	2	2

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**One complaint was pertinent to IPO for which SMC Capitals was not associated as Merchant Banker.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021				
2	2022	0	1	1	0
3	2023	0	0	0	0
4	2024*	0	4	4	0
5	2025	Will be updated as and when applicable			
	Grand Total	0	5	5	0

* data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (RIGHT ISSUE)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024*	0	0	0	0
5	2025	Will be updated as and when applicable			
	Grand Total	0	0	0	0

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PREFERENTIAL ISSUE)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

* #Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024*	0	0	0	0
5	2025	Will be updated as and when applicable			
	Grand Total	0	0	0	0

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (OIP)

ANNEXURE - 'B'

Data for every month ending 30th September 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month. # Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024*	0	0	0	0
5	2025	Will be updated as and when applicable			
	Grand Total	0	0	0	0

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (TAKEOVER)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024*	0	0	0	0
5	2025	Will be updated as and when applicable			
	Grand Total	0	0	0	0

**data shall be updated after the completion of respective calendar year.*

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (DELISTING)

ANNEXURE - 'B'

Data for every month ending 30th September 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

* #Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024*	0	0	0	0
5	2025	-	-	-	-
	Grand Total	0	0	0	0

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (BUYBACK)

ANNEXURE - 'B'

Data for every month ending 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

* #Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	1	1	0
4	2024*	0	0	0	0
5	2025	Will be updated as and when applicable			
	Grand Total	0	1	1	0

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (MUNICIPAL DEBT SECURITIES)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024			Nil	
2	June 2024			Nil	
3	July 2024			Nil	
4	August 2024			Nil	
5	September 2024			Nil	
	Grand Total			Nil	

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend (calendar year) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-22			Nil	
2	2022-23			Nil	
3	2023-24			Nil	
	Grand Total			Nil	

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER
(PRIVATE PLACEMENT OF NON -CONVERTIBLE SECURITIES)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024			Nil	
2	June 2024			Nil	
3	July 2024			Nil	
4	August 2024			Nil	
5	September 2024			Nil	
	Grand Total			Nil	

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (calendar year) disposal of complaints (For 3 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-22			Nil	
2	2022-23			Nil	
3	2023-24			Nil	
	Grand Total			Nil	

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER
PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024			Nil	
2	June 2024			Nil	
3	July 2024			Nil	
4	August 2024			Nil	
5	September 2024			Nil	
	Grand Total			Nil	

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (calendar year) disposal of complaints (For 3 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022			Nil	
2	2023			Nil	
3	2024			Nil	

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF REIT)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024			Nil	
2	June 2024			Nil	
3	July 2024			Nil	
4	August 2024			Nil	
5	September 2024			Nil	
	Grand Total			-	

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend (calendar year)–

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022			Nil	
2	2023			Nil	
3	2024			Nil	
	Grand Total			Nil	

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF INVIT)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024			Nil	
2	June 2024			Nil	
3	July 2024			Nil	
4	August 2024			Nil	
5	September 2024			Nil	
	Grand Total			Nil	

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend (calender year) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022			Nil	
2	2023			Nil	
3	2024			Nil	
	Grand Total			Nil	

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF REIT)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	Nil					
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024	Nil			
2	June 2024	Nil			
3	July 2024	Nil			
4	August 2024	Nil			
5	September 2024	Nil			
	Grand Total	Nil			

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month

Last 3 years trend (calendar year)–

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil			
2	2023	Nil			
3	2024	Nil			
	Grand Total	Nil			

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF INVIT)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	Nil					
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024			Nil	
2	June 2024			Nil	
3	July 2024			Nil	
4	August 2024			Nil	
5	September 2024			Nil	
	Grand Total			Nil	

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month

Last 3 years trend (calendar year)–

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022			Nil	
2	2023			Nil	
3	2024			Nil	
	Grand Total			Nil	

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF DEBT SECURITIES)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May 2024			Nil	
2	June 2024			Nil	
3	July 2024			Nil	
4	August 2024			Nil	
5	September 2024			Nil	
	Grand Total			Nil	

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (calendar year) disposal of complaints (For 3 years on rolling basis) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	Nil	Nil	Nil	Nil
2	2023	Nil	2	2	Nil
3	2024	Nil	Nil	Nil	Nil
	Grand Total	Nil	2	2	Nil

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (CONSOLIDATED FILE)

ANNEXURE - 'B'

Data for every month ending – 30th September 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	May-2024	Nil			
2	June-2024	Nil			
3	July-2024	Nil	2**	Nil	2**
4	August- 2024	2	Nil	2	Nil
5	September 2024	Nil	Nil	Nil	Nil

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**One complaint was pertinent to IPO for which SMC Capitals was not associated as Merchant Banker.

Last 3 years trend (calendar year) –

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	1	1	0
2	2023	0	3	3	0
3	2024	0	4	4	0
	Grand Total	0	8	8	0